

DIALOG Finance & Banking Newsletters

FILE DESCRIPTION

DIALOG Finance and Banking Newsletters database, now closed, is a collection of full-text newsletters from primary publishers in the field of investment, finance and banking. The database contains specialized industry newsletters that provide concise information on companies, products, markets, and technologies. Also covered are rulings, regulations, and other legislative activities that affect the financial community. Newsletters are provided by several publishers.

SUBJECT COVERAGE

Topics covered are any that relate to the finance and banking community, including:

- Financial Services
- Credit
- Encryption
- Bank Automation
- Initial Public Offerings
- Investment Climate
- Mergers and Acquisitions
- Smart Cards
- International Markets
- Venture Capital
- Regional Financial Issues
- Electronic Transactions
- Interactive Services

TIPS

USE FILE 267

to track important events and regulations/laws affecting the financial industry.

EXPAND JN=

to get a complete list of newsletters included in the database.

USE PU=

to retrieve all publications produced by a specific publisher.

USE BEGIN 625, 267

to search all newsletters produced by American Banker and the newsletters in File 267.

USE /LP

to search the Lead Paragraph (first 20 words of the text).

DIALOG FILE DATA

Inclusive Dates: 1996 to the September 2008

Update Frequency: Closed None

File Size: 151,158 records as of September 2008

CONTACT

DIALOG Finance and Banking Newsletters is provided by Dialog. Questions concerning file content should be directed to:

Dialog LLC
The Knowledge Center
2250 Perimeter Park Drive
Suite 300

Morrisville, NC 27560

Phone: 919.804.6400

Toll Free: 1-800-3DIALOG

Fax: 919.804.6410

E-Mail: customer@dialog.com

SAMPLE RECORD

DIALOG(R)File 267:Finance & Banking Newsletters
 (c) 2008 Dialog. All rts. reserv.

AN= 04645353

/TI Point-in-Time Cross Upstart Sees Liquidity Opening, Challenges-Mismi
 applying new technology to portfolio matching

AU= John Hintze

JN= Security Industry News

PD=, PY=, DT= September 29,2008 DOCUMENT TYPE: NEWSLETTER

PU= PUBLISHER: SOURCE MEDIA

LA=, RT= LANGUAGE: ENGLISH WORD COUNT: 1575 RECORD TYPE: FULLTEXT

TEXT:

/TX, /LP

Mismi, a point-in-time crossing network expected to launch by year-end, plans to provide users with more effective technology to execute portfolio-wide trades. Though the concept is decades old, Mismi management sees it as ripe for revival amid growing concerns about information leakage at continuous dark books.

Funded by Philadelphia-based TL Ventures and Saturn Partners in Boston, Mismi aims to bridge the gap between a portfolio manager's strategy to optimize returns and the trader's goal to optimize executions. Its CEO, Andrew Papandreadis, is a crossing technology veteran-he developed Instinet's JapanCrossing in 2000 and helped build a point-in-time cross for Burlington Capital Markets in 2003.

The first crosses were initiated in the late 1980s by Investment Technology Group (ITG) and Instinet, and their point-in-time, call-auction structures were determined as much by the state of technology-often employing fax machines to deliver orders and trade confirmations-as effectiveness. Continuous crosses have gained popularity over the last decade, particularly in recent years, with the emergence of 40-odd venues that do not display bids and offers.

(. . .)

Heckman declined to separate Posit Match's average volume from Posit Now's. The second-quarter average daily volume for the entire Posit crossing suite, including Posit Alert, was 45 million shares, he said, down from 55 million in the previous quarter. Overall, ITG executed 180 million shares daily in the U.S. in August.

"One of the initial theories behind Posit Match was that we would match portfolios based on styles and indexes," said Heckman. The cross has the ability to match portfolios but does so rarely, he said-"You need a sort of perfect storm to match portfolios."

(c) SOURCE MEDIA All Rts. Reserv.

/CO, CO=

COMPANY NAMES (DIALOG GENERATED): Aite Group ; Burlington Capital Markets ; Instinet ; Investment Technology Group ; MatchPoint Trading ; Mismi ; Nasdaq Stock Market ; New York Stock Exchange ; Saturn Partners ; TL Ventures

SEARCH OPTIONS

BASIC INDEX

SEARCH SUFFIX	DISPLAY CODE	FIELD NAME	INDEXING	SELECT EXAMPLES
—	—	All Basic Index Fields	Word	S PORTFOLIO(W)WIDE(W)TRADE?
—	—	Basic Document	Word	
/CO	CO	Company Name ¹	Word	S TL(W)VENTURES/CO
/LP	LP	Lead Paragraph	Word	S OPTIMIZE(W) EXECUTIONS/LP
/TI	TI	Title	Word	S PORTFOLIO(W)MATCHING/TI
/TX	TX	Text	Word	S POSIT(W)MATCH?/TX

¹ Searchable in the Basic Index and in the Additional Indexes.

ADDITIONAL INDEXES

SEARCH PREFIX	DISPLAY CODE	FIELD NAME	INDEXING	SELECT EXAMPLES
AN=	AN	DIALOG Accession Number	Phrase	S AN=04645353
AU=	AU	Author ²	Word	S AU=(JOHN(1N)HINTZE)
CO=	CO	Company Name ¹	Phrase	S CO=SATURN PARTNERS?
DT=	DT	Document Type	Phrase	S DT=NEWSLETTER
IP=	IP	Information Supplier	Phrase	S IP=SOURCE MEDIA
JN=	JN	Newsletter/Journal Name	Phrase	S JN=SECURITY INDUSTRY NEWS
LA=	LA	Language	Phrase	S LA=ENGLISH
PD=	PD	Publication Date	Phrase	S PD=20080929
PU=	PU	Publisher	Phrase	S PU=SOURCE MEDIA
PY=	PY	Publication Year	Phrase	S PY=2008
RT=	RT	Record Type	Phrase	S RT=FULLTEXT
—	SO	Source ³		
UD=	—	Update	Phrase	S UD=9999
—	WD	Word Count		

² Not present in most records.

³ Includes Newsletter/Journal name, Volume, Issue, and Publication Date.

SPECIAL FEATURES

For command descriptions, enter HELP LIMIT, HELP SORT, HELP RANK, HELP DUP, HELP CURRENT online.

LIMIT	/YYYY -- Publication Year	S S2/2008
SORT	AN, AU, JN, PD, PY, TI	SORT S5/ALL/PY,D PRINT S3/9/ALL/TI
RANK	All phrase- and numeric-indexed fields in the Additional Indexes can be ranked. Other RANK codes include: CO	RANK CO
RD, ID	Remove duplicates (RD) or identify duplicates (ID,IDO).	RD S5
CURRENT	Search only the most recent year plus one (CURRENT1) to five (CURRENT5) years.	B 267 CURRENT2

PREDEFINED FORMAT OPTIONS

NO.	DIALOGWEB FORMAT	RECORD CONTENT
1	--	DIALOG Accession Number
2	--	Bibliographic Citation
3	Medium	Bibliographic Citation
4	--	Bibliographic Citation
5	Long	Full Record except Text (includes Lead Paragraph)
6	Free	Title, Publication Date, and Word Count
7	--	Full Record
8	Short	Title, Publication Date, Word Count, and Indexing
9	Full	Full Record
K	--	KWIC (Key Word In Context) displays a window of text; may be used alone or with other formats

OTHER OUTPUT OPTIONS

For an explanation, enter HELP TYPE, HELP UDF, HELP TAG online.

USER DEFINED FORMATS	User-defined formats may be specified using the display codes indicated in the Search Options tables.	TYPE S3/TI,JN/ALL
TAG	TAG may be used for tagged fields.	TYPE S3/9/ALL TAG
DIRECT RECORD ACCESS	If the accession number of a specific record is known, it can be used to display the record directly.	TYPE 00085603/9 PRINT 00095905/9